

Attorney's Docket No.: 06618-928001  
Client's Ref. No.: CIT-3840/OE034

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Number of pages including this page 11 --

Applicant : Anatoliy Savchenkov et al. Art Unit : 2872  
Serial No.: 10/772,218  
Filed : February 3, 2004

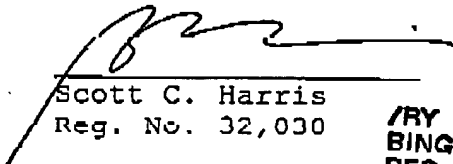
Title : Tunable Optical Filters Having Electro-Optic  
Whispering Gallery Mode Resonators

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is an  
information Disclosure Statement, faxed this 26<sup>th</sup> day of May  
2005, to the United States Patent and Trademark Office.

Respectfully submitted,

Date: May 26, 2005

  
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Attorney's Docket No.: 06618/928001/CIT-3840/OE034

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Anatoliy Savchenkov et al.      Art Unit: 2872  
Serial No.: 10/772,218  
Filed : February 3, 2004  
Title : TUNABLE OPTICAL FILTERS HAVING ELECTRO-OPTIC  
WHISPERING GALLERY MODE RESONATORS

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MAY 27 2005

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information  
Disclosure Statement and documents listed on form PTO-1449.

This filing is being made before the receipt of a first  
Office action on the merits. No fee is required.

Each enclosed document listed on the Form PTO-1449 was  
cited in the attached PCT Search Report dated May 13, 2005,  
issued in a foreign counterpart application not more than three  
months ago. To the best knowledge of the undersigned, this is  
the first citation of these items in any communication from a  
foreign patent office in a counterpart foreign application.

## CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is  
being transmitted by facsimile to the Patent and  
Trademark Office on the date indicated below.

May 26, 2005

Date of Transmission

Signature

S. Gebhart

Name of Person Signing Certificate

Page 2 of 2

Attorney's Docket No.: 06618/928001

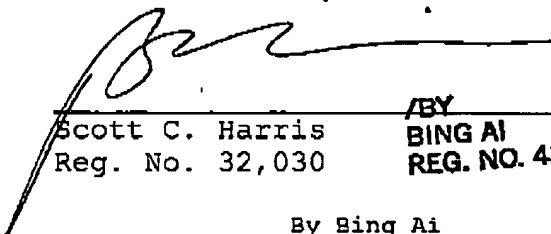
The documents are in the English language; hence no concise explanation is necessary per Rule 98(a)(3).

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

Please apply any applicable charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: May 26, 2005

  
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Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06618-928001	Application No. 10/772,218
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Anatoliy Savchenkov et al.	
		Filing Date February 3, 2004	Group Art Unit 2872

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2002/0044739	4/18/2002	Vahala et al.			
	AB	6580851	6/17/2003	Vahala et al.			
	AC	2003/0231826	12/18/2003	Boyd et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)